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PTO/SB/21 (08-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

130

Application Number

09/824,931

Filing Date

4/3/01

First Named Inventor

Michael P. Mittmann

Art Unit

1631

Examiner Name

Ardin H. Marschel

Attorney Docket Number

3381

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Drawing(s)

After Allowance Communication to Group

Fee Attached

Licensing-related Papers

Appeal Communication to Board of Appeals and Interferences

Amendment / Reply

Petition

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

After Final

Petition to Convert to a Provisional Application

Proprietary Information

Affidavits/declaration(s)

Power of Attorney, Revocation Change of Correspondence Address

Status Letter

Extension of Time Request

Terminal Disclaimer

Other Enclosure(s)

(please identify below):

Express Abandonment Request

Request for Refund

Form 1449 and 126 pages of references

Return Postcard

Supplemental Information Disclosure Statement

CD, Number of CD(s) \_\_\_\_\_

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

William R. McCarthy, III Reg. No. P- 55,788

Signature

Date

January 8, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Gilda T. Carr

Signature

Date

January 8, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

Attorney Docket No. 3381

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mittmann, et al

Serial No: 09/824,931

Filing Date: 4/3/01

**Title: Photolithographic Method and System for Efficient Mask Usage in Manufacturing DNA Arrays**

Examiner: Ardin H. Marschel

Group Art Unit: 1631

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application. In accordance with 37 CFR 1.98, a copy of each cited reference is enclosed herewith.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more



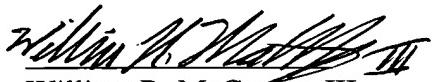
than three months prior to the filing of this Information Disclosure Statement. A copy of the European Search Report is attached.

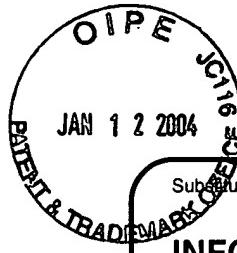
Applicants believe that no fee is required for submission of this statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Account No. 01-0431 in order to have this Information Disclosure Statement considered.

Dated: Jan. 8, 2004

Respectfully submitted,

**Customer No. 22886**  
Legal Department  
Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
Tel: (781) 280-1522  
Fax: (781) 687-9090

  
William R. McCarthy, III  
Reg. No. P-55,788



JAN 12 2004

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

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*Complete if Known*

Application Number	09/824,931
Filing Date	4/3/01
First Named Inventor	Michael P. Mittmann
Art Unit	1631
Examiner Name	Ardin H. Marschel
Attorney Docket Number	3381

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **\*Applicant's unique citation designation number (optional).** **<sup>2</sup>** See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. **<sup>3</sup>** Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **<sup>4</sup>** For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. **<sup>5</sup>** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. **<sup>6</sup>** Applicant is to place a check mark here if English language Translation is attached.

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